

FORM PTO-1390 (REV 2-2005) OMB-0651-0021

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 12065-0038

		TRANSMITTAL LETTER TO THE UN	DATE: March 10, 2006							
		DESIGNATED/ELECTED OFFICE CONCERNING A FILING UNDER 3		U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.5) 10/560,899						
	NTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED JULY 25, 2005 DECEMBER 24, 2004									
TITI	ITLE OF INVENTION: PARTICULATE MATTER OXIDATION CATALYST AND FILTER									
		ANT(S) FOR DO/EO/US: Hisashi SUDA and Tak								
App	lican	t herewith submits to the United States Designated/Ele	cted Office (DO/EO/US) the following it	ems and other information:						
1.		This is a FIRST submission of items concerning a filin								
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of it	tems concerning a filing under 35 U.S.C	C. 371.						
3.		This express request to begin national examination pr the expiration of the applicable time limit set in 35 U.S								
4.	\boxtimes	The US has been elected (Article 31).								
5.		A copy of the International Application as filed [35 U.S a. is transmitted herewith (required only if not trab. will be transmitted by the International Bureau is not required, as the application was filed in	ansmitted by the International Bureau). J. (APPLICATION NOT YET PUBLISHI							
6.		 An English language translation of the International Application as filed [35 U.S.C. 371(c)(2)]. a. ☐ is attached hereto. b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4). 								
7.	 Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 									
8.		An English language translation of the amendments to	o the claims under PCT Article 19 [35 U	J.S.C. 371(c)(3)].						
9.		An oath or declaration of the inventor(s) [35 U.S.C. 37	71(c)(4)].							
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].									
Iten	ns 11	- 20 below concern other document(s) or information i	included:							
11.	11. 🔯 An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.								
13.		A FIRST preliminary amendment.								
14.		An Application Data Sheet under 37 CFR 1.76.								
15.	5. A substitute specification.									
16.		☐ A power of attorney and/or change of address letter.								
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.								
18.		A second copy of the published international application	ion under 35 U.S.C. 154(d)(4).							
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.		Other items or information:								

U.S. APPLN. NO. (IF KNOWN, INTERNATIONAL APPLICATION NO.						ATTORNEY DOCKET NO. 12065-0038				
SEE 37 C.F.R. 1.50) NOT YET ASSIGNED			PCT/JP2005/013987			DATE: DECEMBER 16, 2005				
☐ The follow	ing fees are su	bmitted:	CALCULATIONS	PTO USE ONLY						
21.) Basic	National Fee		\$							
Report prepare	ination Fee - If ed by USPTO a	and all clai								
	4) ions		\$							
			CFR 1.445(a)(2) h							
			SPTO as an Interna							
	• •	•	nd provided to the (Ì			
All other situat	ions				. \$500	\$				
			TOTAL OF 21			\$				
Additional fee	for specification	and drawin	igs filed in paper over	100 si	heets					
(excluding seque	nce listing on col	mputer prog	gram listing filed in an I 50 sheets of paper o	electro r fracti	onic ion					
thereof.	c 13 4230 101 cac	ar additiona	roo sneets or paper o	i iidoa		\$				
Total Sheets	Extra Sheets		each additional 50 or fra		RATE					
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		the oath or d	eclaration later than 30) month	i					
the earliest claimed	priority date [37	C.F.R. 1.492	2(h)]. I	1		\$				
Claims	Number F	iled	Number Extra	Rate						
Total Claims	- 20 =	<u> </u>	0	X \$50.00		\$				
Independent Claim	s - 3 =		0	X \$	200.00	\$				
Multiple dependent	claim(s) (if applic	able)		+ \$3	360.00	\$				
		TOTAL O	F ABOVE CALCULAT	IONS	=	\$				
Applicant claims s	mall entity status	See 37 CFF	R 1.27. Fees above are re	educed	l by ½	\$				
				SUBT	TOTAL =	\$				
Processing fee of s from the earliest cl			lish translation later the 1.492(f)].	30 mc	onths	\$				
			TOTAL NA	TIONA	L FEE =	\$				
Fee for recording to be accompanied by property	he enclosed assig y an appropriate c	nment [37 Cover sheet (C.F.R. 1.21(h)]. The as (37 C.F.R. 3.28, 3.31).	signme \$40.00	ent must O per	\$				
			TOTAL FEES	ENCL	OSED =	\$				
				Amount to be refunded	\$					
Charged \$										
b. 🔲 Please cl										
c. 🛛 The Com		by authorize	d to charge any additio	nal fee	es which m	ay be required, or credit any ov	erpayment to			
Counsel's Deposit Account No. 50-1088. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be										
included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status										
SEND ALL CORRESPONDENCE TO:										
1090 Vermont Avenue, N.W. CLARK & BRODY Mutoghup Deody							A.			
1090 Vermont Avenue, N.W. Suite 250 Christopher W. Brody										
Suite 250 Washington, D.C.	20005			ner vv. Brody tion No. 33,613	\mathcal{O}					
Telephone: 202-8 Fax: 202-835-175	35-1111				_	arch 10, 2006	V			
Customer Number: 22902										

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hisashi SUDA et al. Art Unit: not yet assigned

Application No.: 10/560,899 Examiner: not yet assigned

Filed: December 16, 2005 Attorney Dkt. No.: 12065-0038

For: PARTICULATE MATTER OXIDATION CATALYST AND FILTER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants respectfully submit that this disclosure is being filed in accordance with 37 C.F.R. 1.97(b), therefore, no fee is required.

Respectfully submitted, CLARK/& BRODY /

Christopher W. Brody Registration No. 33,613

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Docket No.: 12065-0038 Date: March 10, 2006 **FORM PTO-1449**

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

12065-0038

10/560,899

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Hisashi SUDA et al.

FILING DATE

GROUP ART UNIT

12/16/2005

Not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

		TOREIGNIAI	ENI DOCUMEN	15				
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRA YES	ANSLA NO	TION PART.
1.	9-267040	10/14/1997	JP					х
2.	5-184929	07/27/1993	JP					х
3.	1-307452	12/12/1989	JP					х
4.	2-017911	01/22/1990	JP					х
5.	11-253757	09/21/1999	JP					х
6.	2003-222014	08/08/2003	JP					х
7.	6-029542	04/20/1994	JP					х
	2. 3. 4. 5.	NO. 1. 9-267040 2. 5-184929 3. 1-307452 4. 2-017911 5. 11-253757 6. 2003-222014	DOCUMENT NO. DATE 1. 9-267040 10/14/1997 2. 5-184929 07/27/1993 3. 1-307452 12/12/1989 4. 2-017911 01/22/1990 5. 11-253757 09/21/1999 6. 2003-222014 08/08/2003	DOCUMENT NO. DATE COUNTRY 1. 9-267040 10/14/1997 JP 2. 5-184929 07/27/1993 JP 3. 1-307452 12/12/1989 JP 4. 2-017911 01/22/1990 JP 5. 11-253757 09/21/1999 JP 6. 2003-222014 08/08/2003 JP	DOCUMENT NO. DATE COUNTRY CLASS 1. 9-267040 10/14/1997 JP 2. 5-184929 07/27/1993 JP 3. 1-307452 12/12/1989 JP 4. 2-017911 01/22/1990 JP 5. 11-253757 09/21/1999 JP 6. 2003-222014 08/08/2003 JP	DOCUMENT NO. DATE COUNTRY CLASS SUB-CLASS 1. 9-267040 10/14/1997 JP	DOCUMENT NO. DATE COUNTRY CLASS SUB-CLASS TRATE 1. 9-267040 10/14/1997 JP	DOCUMENT NO. DATE COUNTRY CLASS SUB-CLASS TRANSLA YES NO 1. 9-267040 10/14/1997 JP

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

		OTHER REPERENCES (Including Au	mor, Time, Date, Termiem Tages, Elect				
	8.		el Exhaust Emissions by Passive Regeneration-Type Research, 2003, Volume 18, No. 3, pages 185-194				
	9.	T. IGARASHI et al., "The Current Situation and Challenges of the Catalytic-Regeneration Type DPF System", Proceedings of the Annual Congress of the Society of Automotive Engineers of Japan, 2002, Volume 22, No. 2, pages 5-8					
	10.	Metal Magazine, 2004, Volume 74, No.,	4, No., 5, pages 449-453				
	11.		System Using Perovskite Catalysts Supported on ic Society of Japan, 2003, Volume 111, No. 129, pages				
EXAMINER			DATE CONSIDERED				

*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.